

# A Semi-empirical Theory of the $\pi$ -Electronic Spectra of Aromatic Derivatives

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In a previous paper<sup>1)</sup>, we have reported a convenient method (VO method) for calculation of the molecular diagrams of aromatic derivatives. In this paper, a semi-empirical theory of the  $\pi$ -electronic spectra of aromatic derivatives based on the antisymmetrized molecular orbital method (ASMO method) is formularized by the use of the results of the VO method and is applied to calculation of the  $\pi$ -electronic spectra of hydroquinone and phloroglucinol.

## Theory

The framework of the present theory is similar to that of Pariser<sup>2)</sup> and of our previous paper<sup>3)</sup>. The procedure of calculation is as follows:

i) MO's are determined by a simple LCAO MO method based on  $\pi$ -electron approximation, using appropriately chosen parameters.

ii) We give each  $\pi$ -electron a molecular spin orbital (MSO) which is given by the product of a MO and spin function  $\alpha$  or  $\beta$ .

iii) Configurational wave functions are built up as antisymmetrized products, or Slater determinants, of these MSO's.

iv) Configurational energies are calculated by a semi-empirical procedure based on zero differential approximation.

v) Electronic state functions and their energies are calculated by the method of the linear combinations of these configurational wave functions.

A) Calculation of MO's.—When the molecule contains  $n$   $2p\pi$  atomic orbitals ( $2p\pi$  AO), the MO,  $\phi_i$ , is represented by the linear combination of these AO's as follows:

$$\phi_i = \sum_{\mu} C_{i\mu} \phi_{\mu} \quad (1)$$

where  $\phi_{\mu}$  is the  $\mu$ -th  $2p\pi$  AO and  $C_{i\mu}$  is the coefficient to be determined by the variational method. First of all, from the variational theory we obtain the following equations for the coefficients which form the simultaneous equations of  $n$  dimensions:

$$\begin{aligned} \sum_{\mu=1}^n C_{i\mu} \left( \int \phi_1 H \phi_{\mu} dv - E \delta_{1\mu} \right) &= 0 \\ \vdots \\ \sum_{\mu=1}^n C_{i\mu} \left( \int \phi_n H \phi_{\mu} dv - E \delta_{n\mu} \right) &= 0 \end{aligned} \quad (2)$$

where  $H$  is an effective one-electron Hamiltonian and  $\delta_{\mu\nu} = 1$  if  $\mu = \nu$ ,  $= 0$  otherwise.  $E$  is orbital energy defined by  $H$  and MO. Now, we assume the matrix elements,  $H_{\mu\nu} =$

$\int \phi_{\mu} H \phi_{\nu} dv$ , as follows:

$$\left. \begin{aligned} H_{\mu\mu} &= \alpha & (\phi_{\mu} \text{ is carbon } 2p\pi \text{ AO}) \\ H_{\mu\mu} &= \alpha + \delta_{\mu} \beta & (\phi_{\mu} \text{ is heteroatom } 2p\pi \text{ AO}) \end{aligned} \right\} \quad (3)$$

$$\left. \begin{aligned} H_{\mu\nu} &= \beta & (\mu \text{ and } \nu \text{ are nearest neighbor carbon atoms}) \\ H_{\mu\nu} &= k_{\mu\nu} \beta & (\mu \text{ and } \nu \text{ are nearest neighbors, but one or both of them are heteroatom}) \\ H_{\mu\nu} &= 0 & (\mu \text{ and } \nu \text{ are non-neighbors}) \end{aligned} \right\} \quad (4)$$

where the numerical values of  $\delta_{\mu}$  and  $k_{\mu\nu}$  are determined by a semi-empirical procedure; e.g., for the case of phenol we have determined these values from the experimental ionization potentials of benzene, methanol and phenol<sup>3)</sup>. Energies  $E_i$  are obtained as the roots of the following secular determinant:

$$\begin{vmatrix} (H_{11} - E) & H_{12} & \cdots & H_{1n} \\ H_{21} & (H_{22} - E) & \cdots & H_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ H_{n1} & H_{n2} & \cdots & (H_{nn} - E) \end{vmatrix} = 0$$

which is defined by Eq. 2. From the secular determinant we obtain the  $n$   $E_i$ 's. If we substitute the lowest energy  $E_1$  into Eq. 2 and use the normalization condition

$$\int \phi_1^2 dv = \sum_{\mu=1}^n C_{1\mu}^2$$

we obtain the coefficients  $C_{1\mu}$ 's for the lowest MO,  $\phi_1$ . In the same way we obtain the MO's,  $\phi_2, \phi_3, \dots, \phi_n$  associated with the energies  $E_2, E_3, \dots, E_n$  respectively. When  $E$  is expressed by

1) K. Nishimoto and R. Fujishiro, This Bulletin, 32, 699 (1959).

2) R. Pariser, J. Chem. Phys., 24, 250 (1956).

3) K. Nishimoto and R. Fujishiro, This Bulletin, 31, 1036 (1958).

$$E_i = \alpha - x_i \beta \quad (5)$$

the MO's corresponding to  $x_i < 0$  are called bonding orbitals, whereas the MO's corresponding to  $x_i > 0$  are called antibonding orbitals. In the case of a molecule having  $m$   $\pi$ -electrons, the ground state of the molecule is given by the state in which the lower MO's,  $\phi_1, \phi_2, \dots, \phi_{m/2}$  are used twice. We call the MO's,  $\phi_1, \phi_2, \dots, \phi_{m/2}$ , occupied orbitals, and the other MO's vacant orbitals. Consequently, the  $\pi$ -electron density of the  $\mu$ th atom,  $q_\mu$ , and  $\pi$ -bond order (or quantity analogous to it) for the  $\mu\nu$  atom pair,  $p_{\mu\nu}$ , in the ground state of the molecule are expressed as follows:

$$\left. \begin{aligned} q_\mu &= 2 \sum_i^{\text{occ.}} C_{i\mu}^2 = 2 - 2 \sum_{i'}^{\text{vac.}} C_{i'\mu}^2 = 2 - q_\mu^v \\ p_{\mu\nu} &= 2 \sum_i^{\text{occ.}} C_{i\mu} C_{i\nu} = -2 \sum_{i'}^{\text{vac.}} C_{i'\mu} C_{i'\nu} = -p_{\mu\nu}^v \end{aligned} \right\} \quad (6)$$

where  $\sum_i^{\text{occ.}}$  and  $\sum_{i'}^{\text{vac.}}$  indicate the sums over occupied orbitals and vacant orbitals respectively. The relations of Eqs. (6) are found in our previous paper<sup>1)</sup>. The calculation of  $q_\mu$ 's and  $p_{\mu\nu}$ 's using vacant orbitals is simple compared with that using occupied orbitals.

**B) Reduced Formula for the Calculation of  $\pi$ -Electronic State Energies.**—The configurational wave function of the ground state of the molecule,  $\chi_0$ , is now represented by a Slater determinant having the form of:

$$\begin{aligned} \chi_0 &= \frac{1}{\sqrt{m!}} \cdot \\ &\begin{vmatrix} (\phi_1\alpha)^1(\phi_1\beta)^1 \cdots (\phi_{m/2}\alpha)^1(\phi_{m/2}\beta)^1 \\ (\phi_1\alpha)^2(\phi_1\beta)^2 \cdots (\phi_{m/2}\alpha)^2(\phi_{m/2}\beta)^2 \\ \vdots \\ (\phi_1\alpha)^m(\phi_1\beta)^m \cdots (\phi_{m/2}\alpha)^m(\phi_{m/2}\beta)^m \end{vmatrix} \\ &\equiv (1\bar{1}2\bar{2}\cdots\frac{1}{2}m\frac{1}{2}\bar{m}) \end{aligned}$$

The configurational wave function of the excited state corresponding to the one-electron transition from  $\phi_i$  to  $\phi_{k'}$ ,  $\chi_{i \rightarrow k'}$ , is given by:

$$\begin{aligned} \chi_{i \rightarrow k'}^1 &= 2^{-1/2} [(1\bar{1}\cdots i\bar{k}'\cdots\frac{1}{2}m\frac{1}{2}\bar{m}) \\ &\quad - (1\bar{1}\cdots k'i\cdots\frac{1}{2}m\frac{1}{2}\bar{m})] \\ \chi_{i \rightarrow k'}^3 &= 2^{-1/2} [(1\bar{1}\cdots ik'\cdots\frac{1}{2}m\frac{1}{2}\bar{m}) \\ &\quad + (1\bar{1}\cdots i\bar{k}'\cdots\frac{1}{2}m\frac{1}{2}\bar{m})] \end{aligned}$$

where superscripts 1 and 3 indicate singlet and triplet states, respectively. When we use complete many electron Hamiltonian  $\mathcal{H}$  instead

of an effective one-electron Hamiltonian, the configurational energies are given by:

$$\begin{aligned} \mathcal{H}_{i \rightarrow k} &= \int \chi_{i \rightarrow k} \mathcal{H} \chi_{i \rightarrow k} dv \\ \mathcal{H}_{00} &= \int \chi_0 \mathcal{H} \chi_0 dv \end{aligned}$$

where

$$\mathcal{H} = \sum_i H_{\text{core}}(i) + \frac{1}{2} \sum_{ij} \frac{e^2}{r_{ij}}$$

where  $H_{\text{core}}(i)$  is core Hamiltonian, which consists of the kinetic energy term of the  $i$ th  $\pi$ -electron and potential energy terms representing the attraction among the  $\sigma$ -cores and this electron. A rigid core which consists of a nucleus, inner shell electrons and valence electrons except  $\pi$ -electrons, is called a  $\sigma$ -core. Therefore the  $\sigma$ -core of  $\mu$ th atom with  $q_\mu^0$   $\pi$ -electrons in its free state has a  $q_\mu^0 |e|$  positive charge. According to Pariser<sup>2)</sup>, the energy  $\Delta E_{ik'}$  associated with a one-electron transition from  $\phi_i$  to  $\phi_{k'}$  is given by

$$\begin{aligned} \Delta E_{ik'} &= \mathcal{H}_{ik'} - \mathcal{H}_{00} \\ &= I_{k'} - I_i + [ii|k'k'] \pm [ik'|ik'] \\ &\quad - [ii|ii] - \sum_{f \neq i} \{2[ff|ii] - 2[ff|k'k'] \\ &\quad - [fi|fi] + [fk'|fk']\} \end{aligned} \quad (7)$$

where the upper and lower signs are associated with the singlet and triplet configurations respectively. Summation over the index  $f$  means that over the occupied MO's in  $\chi_0$ . The integrals  $[ij|kl]$  and  $I_{ij}$  are

$$\begin{aligned} [ij|kl] &= \int \phi_i(1)\phi_j(1) \frac{e^2}{r_{12}} \phi_k(2)\phi_l(2) dv \\ I_{ij} &= \int \phi_i(1) H_{\text{core}}(1) \phi_j(1) dv \end{aligned}$$

When we define the following quantities:

$$\begin{aligned} \mathcal{H}_{\mu\mu} &= -I_\mu + \frac{1}{2} q_\mu \gamma_{\mu\mu} + \sum_{\nu \neq \mu} (q_\nu - q_\nu^0) \gamma_{\nu\mu} \\ \mathcal{H}_{\mu\nu} &= \beta_{\mu\nu} - \frac{1}{2} p_{\mu\nu} \gamma_{\mu\nu} \\ \bar{\varepsilon}_i &= \sum_{\mu\nu} C_{i\mu} C_{i\nu} \mathcal{H}_{\mu\nu} \end{aligned} \quad (8)$$

where

$$\begin{aligned} \gamma_{\mu\nu} &= \int \phi_\mu^2(1) \frac{e^2}{r_{12}} \phi_\nu^2(2) dv \\ I_\mu &= \int \phi_\mu(1) H_{\text{core}}(1) \phi_\mu(1) dv \\ \beta_{\mu\nu} &= \int \phi_\mu(1) H_{\text{core}}(1) \phi_\nu(1) dv \end{aligned}$$

Equation 7 can be rewritten by these quantities as

$$\Delta E_{i,k'}^1 = \bar{\epsilon}_{k'} - \bar{\epsilon}_i + [ik'|ik'] - [ii|k'k'] \pm [ik'|ik']$$

In many cases we have a number of configurational wave functions  $\chi_i$ , belonging to the same symmetry. Therefore, the allowed electronic state function  $\Psi_a$  must be given by the linear combination of these  $\chi_i$ 's; that is,

$$\Psi_a = \sum d_{ai} \chi_i$$

where  $d_{ai}$  is a coefficient to be determined by variational procedure. Energies  $E$  associated with allowed electronic states are determined by following secular determinant:

$$\begin{vmatrix} (\mathcal{H}_{00} - E) & \mathcal{H}_{01} & \mathcal{H}_{02} \cdots \\ \mathcal{H}_{10} & (\mathcal{H}_{11} - E) & \mathcal{H}_{12} \cdots \\ \vdots & \vdots & \vdots \end{vmatrix} = 0$$

Excitation energy  $\Delta E_{0 \rightarrow a}$  associated with a transition from  $\Psi_0$  to  $\Psi_a$  is given by:

$$\Delta E_{0 \rightarrow a} = E_0 - E_a$$

General formulas for interconfigurational matrix elements  $\mathcal{H}_{ij}$  were given by Pariser<sup>2)</sup>. These can be rewritten as follows:

$$\begin{aligned} [\chi_0 | \chi_i^1 \rightarrow k'] &= \int \chi_0 \mathcal{H} \chi_i^1 \rightarrow k' d\nu \\ &= \sqrt{2} \sum_{\mu\nu} C_{i\mu} C_{k'\nu} \mathcal{H}_{\mu\nu} \\ [\chi_i^1 \rightarrow k' | \chi_j^1 \rightarrow l'] &= \int \chi_i^1 \rightarrow k' \mathcal{H} \chi_j^1 \rightarrow l' d\nu \\ &= 2[ik'|jl'] - [ij|k'l'] \\ [\chi_i^1 \rightarrow k' | \chi_j^1 \rightarrow l'] &= \sum_{\mu\nu} C_{k'\mu} C_{l'\nu} \mathcal{H}_{\mu\nu} \\ &\quad + 2[ik'|il'] - [ii|k'l'] \\ [\chi_i^1 \rightarrow k' | \chi_j^1 \rightarrow k'] &= - \sum_{\mu\nu} C_{i\mu} C_{j\nu} \mathcal{H}_{\mu\nu} \\ &\quad + 2[ik'|jk'] - [ij|k'k'] \\ [\chi_0 | \chi_i^3 \rightarrow k'] &= 0 \\ [\chi_i^3 \rightarrow k' | \chi_j^3 \rightarrow l'] &= -[ij|k'l'] \\ [\chi_i^3 \rightarrow k' | \chi_j^3 \rightarrow l'] &= [\chi_i^1 \rightarrow k' | \chi_j^1 \rightarrow l'] - 2[ik'|il'] \\ [\chi_i^3 \rightarrow k' | \chi_j^3 \rightarrow k'] &= [\chi_i^1 \rightarrow k' | \chi_j^1 \rightarrow k'] - 2[ik'|jk'] \end{aligned}$$

**C) Semi-empirical Estimation of Basic Integrals and Semi-empirical Formulation of  $\mathcal{H}_{\mu\nu}$  and  $\bar{\epsilon}_i$ .**—All molecular integrals can be expanded into integrals over AO's which are  $I_\mu$ ,  $\beta_{\mu\nu}$  and  $\gamma_{\mu\nu}$ . These basic integrals are estimated by a semi-empirical procedure. The two-center electron repulsion integral,  $\gamma_{\mu\nu}$ , is calculated from the expression which we found in the previous paper<sup>4)</sup>; that is,

$$\gamma_{\mu\nu} = \frac{e^2}{a_{\mu\nu} + r_{\mu\nu}}$$

where  $r_{\mu\nu}$  is the interatomic distance between the  $\mu$  and  $\nu$  atoms.

$a_{\mu\nu}$  is a characteristic constant which is given by

$$\frac{e^2}{a_{\mu\nu}} = \frac{I_\mu + I_\nu - A_\mu - A_\nu}{2}$$

where  $I_\mu$  and  $A_\mu$  are the valence state ionization potential and the electron affinity of the  $\mu$  atom respectively. The core integrals,  $I_\mu$  and  $\beta_{\mu\nu}$ , are estimated in the following manner:

i) In case both  $\phi_\mu$  and  $\phi_\nu$  are carbon  $2p\pi$  AO's, we assume that:

a)  $I_\mu$  is the valence state ionization potential of the carbon atom

b)  $\beta_{\mu\nu} = \beta_{cc}$  for the  $\mu\nu$  bond

c)  $\beta_{\mu\nu} = 0$ , if  $\mu$  and  $\nu$  are nonneighbors

In this case,  $\mathcal{H}_{\mu\mu}$  and  $\mathcal{H}_{\mu\nu}$  can be rewritten as follows:

$$\begin{aligned} \mathcal{H}_{\mu\mu} &= -y_c + \frac{1}{2}(1 - q_\mu^v) \gamma_{\mu\mu} \\ &\quad + \sum_{\nu \neq \mu} (2 - q_\nu^0 - q_\nu^v) \gamma_{\nu\mu} = -y_c + G_{\mu\mu} \\ \mathcal{H}_{\mu\nu} &= \beta_{cc} + \frac{1}{2} p_{\mu\nu}^v \gamma_{\mu\nu} = \beta_{cc} + G_{\mu\nu} \end{aligned} \quad (9)$$

where  $y_c$  and  $q_\nu^0$  are the valence state electronegativity of the carbon atom and the core charge of the  $\nu$  atom respectively. By definition,

$$G_{\mu\mu} = \frac{1}{2} (2 - q_\mu^0 - q_\mu^v) \gamma_{\mu\mu} + \sum_{\mu \neq \nu} (2 - q_\nu^0 - q_\nu^v) \gamma_{\nu\mu}$$

$$G_{\mu\nu} = \frac{1}{2} p_{\mu\nu}^v \gamma_{\mu\nu}$$

ii) In case either  $\phi_\mu$  or  $\phi_\nu$  is a heteroatom  $2p\pi$  AO, we assume that:

$$\begin{aligned} \mathcal{H}_{\mu\mu} &= -y_c + \delta_\mu \beta_{cc} + \frac{1}{2} (2 - q_\mu^0 - q_\mu^v) \gamma_{\mu\mu} \\ &\quad + \sum_{\nu \neq \mu} (2 - q_\nu^0 - q_\nu^v) \gamma_{\nu\mu} = -y_c + \delta_\mu \beta_{cc} + G_{\mu\mu} \end{aligned} \quad (10)$$

$$\mathcal{H}_{\mu\nu} = k_{\mu\nu} \beta_{cc} + G_{\mu\nu}$$

where we assign the same values as in Eqs. 3 and 4 for  $\delta_\mu$  and  $k_{\mu\nu}$ .  $(2 - q_\mu^0 - q_\mu^v)$  gives the net charge of the  $\mu$  atom. Substituting Eqs. 9 and 10 into Eq. 8, we obtain the following expression:

$$\bar{\epsilon}_i = -y_c - x_i \beta_{cc} + \sum_{\mu\nu} C_{i\mu} C_{i\nu} G_{\mu\nu}$$

where  $x_i$  has the same value as in Eq. 5. The numerical estimation of  $\beta_{cc}$  is carried out by a semi-empirical procedure to fit the observed spectra.

**D) Oscillator Strength.**—The oscillator strength,  $f$ , associated with a one-electron

4) K. Nishimoto and N. Mataga, *Z. physik. Chem. [N. F.]*, **12**, 335 (1957); N. Mataga and K. Nishimoto, *ibid.*, **13**, 140 (1957).

transition from  $\Psi_a$  to  $\Psi_b$  is given by Mulliken and Rieke<sup>5)</sup> as follows:

$$f = 1.085 \times 10^{11} \tilde{\nu}_{ab} D_{ab}^2$$

where  $\tilde{\nu}_{ab}$  is the frequency of the transition in  $\text{cm}^{-1}$ .  $D_{ab}$  is the so-called transition moment which is defined by

$$D_{ab} = \int \Psi_a \sum_i e_i r_i \Psi_b dv$$

where  $e_i$  and  $r_i$  are the charge and the position vector of the  $i$ th charged particle respectively. From the calculation of  $D_{ab}$ , we can know the direction of the polarization of the transition. The knowledge of the direction of the transition moment is not only useful for the classification of the electronic state, but also plays an important role in the study of intermolecular interaction<sup>5)</sup>.

#### Application to the Spectra of Hydroquinone and Phloroglucinol

We have also applied the method to the  $\pi$ -electronic spectra of hydroquinone, phloroglucinol and parent hydrocarbon, benzene. The parameters used are collected in Table I. Many of them are the same as those of phenol<sup>5)</sup>. The core structures of the molecules are shown in Fig. 1. The calculated MO's are given in Table II. In the calculation of configuration interaction, the four lowest singly excited singlet configurations,  $\chi^1_{1 \rightarrow 1'}$ ,  $\chi^1_{1 \rightarrow 2'}$ ,  $\chi^1_{2 \rightarrow 1'}$  and  $\chi^1_{2 \rightarrow 2'}$ , and the ground state,  $\chi_0$ , are taken

TABLE I. PARAMETERS AND INTEGRALS OVER AO's

$\delta_0 = 1.5$
$k_{co} = 0.7$
$\beta_{cc} = -2.388 \text{ eV.}$ (for benzene and hydroquinone)
$\beta_{cc} = -2.621 \text{ eV.}$ (for phloroglucinol)
$a_{cc} = 1.328 \text{ \AA}$
$a_{co} = 0.889 \text{ \AA}$
$a_{oo} = 0.669 \text{ \AA}$
All C-C bond distances are equal to $1.390 \text{ \AA}$ .
All C-O bond distances are equal to $1.460 \text{ \AA}$ .
All bond angles are equal to $120^\circ$ .

into account. The calculated molecular integrals are collected in Table III. Other kinds of integrals not involved in the table become zero. The  $\bar{\epsilon}_i$ 's correspond formally to the orbital energies in the sense of the self-consistent field method. Therefore,  $\bar{\epsilon}_i$ 's are regarded as the calculated apparent first ionization potentials. With same reason  $\bar{\epsilon}_i$ 's are regarded as calculated electron affinities. From the table we expect that the magnitudes of first ionization potentials are in the order; benzene > hydroquinone > phloroglucinol. Similarly, the order of electron affinity should be benzene > hydroquinone > phloroglucinol. Unfortunately, experimental data to be compared with our calculated ones are not available. The calculated electronic state energies  $E_a$  and the corresponding electronic state functions  $\Psi_a$  are given in Table IV. The results in this table show that the calculated excitation

TABLE II. MO'S AND MO ENERGIES

$E - \alpha = -x_1\beta$	MO
Benzene	
$-2\beta$	$\phi_{3'} = 1/\sqrt{6} (\phi_1 - \phi_2 + \phi_3 - \phi_4 + \phi_5 - \phi_6)$
$-\beta$	$\phi_{2'} = 1/2 (-\phi_2 + \phi_3 - \phi_5 + \phi_6)$
$-\beta$	$\phi_{1'} = 1/\sqrt{12} (2\phi_1 - \phi_2 - \phi_3 + 2\phi_4 - \phi_5 - \phi_6)$
$+\beta$	$\phi_1 = 1/\sqrt{12} (2\phi_1 + \phi_2 - \phi_3 - 2\phi_4 - \phi_5 + \phi_6)$
$+\beta$	$\phi_2 = 1/2 (\phi_2 + \phi_3 - \phi_5 - \phi_6)$
Hydroquinone	
$-2.0475\beta$	$\phi_{3'} = 0.4180 (\phi_1 - \phi_4) - 0.3990 (\phi_2 - \phi_3 - \phi_5 + \phi_6) - 0.0826 (\phi_7 - \phi_8)$
$-1.1269\beta$	$\phi_{2'} = 0.5748 (\phi_1 + \phi_4) - 0.2702 (\phi_2 + \phi_3 + \phi_5 + \phi_6) - 0.1532 (\phi_7 + \phi_8)$
$-1.0000\beta$	$\phi_{1'} = 0.5000 (-\phi_2 + \phi_3 - \phi_5 + \phi_6)$
$+0.6440\beta$	$\phi_1 = 0.4556 (\phi_1 - \phi_4) + 0.2771 (\phi_2 - \phi_3 - \phi_5 + \phi_6) - 0.3726 (\phi_7 - \phi_8)$
$+1.0000\beta$	$\phi_2 = 0.5000 (\phi_2 + \phi_3 - \phi_5 - \phi_6)$
Phloroglucinol	
$-2.0698\beta$	$\phi_{3'} = 0.4111 (\phi_1 + \phi_3 + \phi_5) - 0.3973 (\phi_4 + \phi_2 + \phi_6) - 0.0806 (\phi_7 + \phi_8 + \phi_9)$
$-1.0987\beta$	$\phi_{2'} = 0.2961 (2\phi_1 - \phi_3 - \phi_5) + 0.2695 (2\phi_4 - \phi_2 - \phi_6) - 0.0797 (2\phi_7 - \phi_8 - \phi_9)$
$-1.0987\beta$	$\phi_{1'} = 0.5129 (\phi_3 - \phi_5) - 0.4668 (\phi_2 - \phi_6) - 0.1381 (\phi_8 - \phi_9)$
$+0.7309\beta$	$\phi_1 = 0.3676 (\phi_3 - \phi_5) + 0.5029 (\phi_2 - \phi_6) - 0.3347 (\phi_8 - \phi_9)$
$+0.7309\beta$	$\phi_2 = 0.2122 (2\phi_1 - \phi_3 - \phi_5) - 0.2903 (2\phi_4 - \phi_2 - \phi_6) - 0.1932 (2\phi_7 - \phi_8 - \phi_9)$

5) R. S. Mulliken and C. A. Rieke, *Repts. Progr. Phys.*, **8**, 231 (1941).

6) For example, M. A. El-Bayoumi, Thesis, Florida State Univ. (1961).

energies agree satisfactorily with the observed ones. It is natural results that  $\beta_{cc}$ 's have different values for various molecules, because the  $\pi$ -electron distribution varies from molecule to molecule. From the calculation of transition moment,  $D_{0 \rightarrow a}$ , we can show that all  $\Psi_1$ 's given

in the table have the polarization in the direction of  $x$  axis, whereas all  $\Psi_2$ 's have the polarization in the direction of  $y$  axis. This fact means that the  $\Psi_1$ 's of hydroquinone and of phloroglucinol may be regarded as modified  $\Psi_1$  of benzene, on the other hand, the  $\Psi_2$ 's of them may be regarded as modified  $\Psi_2$  of benzene. This situation is shown in Fig. 2.

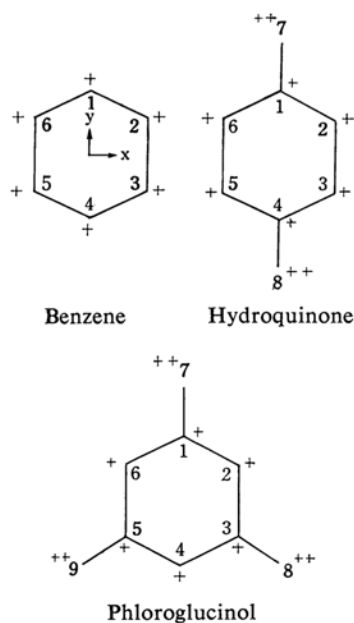


Fig. 1 Numbering of atoms.

TABLE III. CALCULATED MOLECULAR INTEGRALS in eV.

	Benzene	Hydroquinone	Phloroglucinol
$\bar{\epsilon}_{2'} + y_e$	4.738	5.195	5.488
$\bar{\epsilon}_{1'} + y_e$	4.738	4.777	5.488
$\bar{\epsilon}_1 + y_e$	-4.738	-3.611	-3.502
$\bar{\epsilon}_2 + y_e$	-4.738	-4.709	-3.502
$[11 1'1']$	5.874	4.731	5.460
$[11 2'2']$	5.009	5.393	4.715
$[22 1'1']$	5.009	5.874	4.715
$[22 2'2']$	5.874	4.913	5.460
$[11' 11']$	1.473	0.674	1.221
$[12' 12']$	0.731	1.196	0.610
$[21' 21']$	0.731	1.473	0.610
$[22' 22']$	1.473	0.641	1.221
$[11' 22']$	0.010	0.657	-0.001
$[12' 21']$	0.731	-0.004	0.610
$[12 1'2']$	0.433	0.389	0.370
$[\chi_0 \chi_{1 \rightarrow 1'}]$	0	0	0.124
$[\chi_0 \chi_{2 \rightarrow 2'}]$	0	0	0.124

TABLE IV. ELECTRONIC STATE FUNCTIONS AND ELECTRONIC STATE ENERGIES

Electronic state function	Electronic state energy in eV.	Calculated oscillator strength	Observed excitation energy in eV.	Observed oscillator strength
Benzene				
$\Psi_0 = \chi_0$	0	Ref.	Ref.	Ref.
$\Psi_1 = 1/\sqrt{2} (\chi_{1 \rightarrow 2'} - \chi_{1 \rightarrow 2})$	4.900	0	4.9 <sup>a</sup> )	0
$\Psi_2 = 1/\sqrt{2} (\chi_{1 \rightarrow 1'} + \chi_{1 \rightarrow 2})$	6.135	0	6.0 <sup>a</sup> )	0.1
$\Psi_3 = 1/\sqrt{2} (\chi_{1 \rightarrow 1'} - \chi_{1 \rightarrow 2})$	6.958	2.353	7.0 <sup>a</sup> )	0.69
$\Psi_4 = 1/\sqrt{2} (\chi_{1 \rightarrow 2'} + \chi_{1 \rightarrow 2})$				
Hydroquinone				
$\Psi_0 = \chi_0$	0	Ref.	Ref.	Ref.
$\Psi_1 = 0.8847\chi_{1 \rightarrow 1'} - 0.4893\chi_{1 \rightarrow 2'}$	4.518	0.058	4.25 <sup>b</sup> )	—
$\Psi_2 = 0.9192\chi_{1 \rightarrow 2'} + 0.3938\chi_{1 \rightarrow 2}$	5.634	0.250	5.51 <sup>b</sup> )	—
$\Psi_3 = 0.3938\chi_{1 \rightarrow 2'} - 0.9192\chi_{1 \rightarrow 2}$	6.727	1.112	—	—
$\Psi_4 = 0.4893\chi_{1 \rightarrow 1'} + 0.8847\chi_{1 \rightarrow 2'}$	6.760	0.962	—	—
Phloroglucinol				
$\Psi_0 = 0.9995\chi_0 - 0.0223(\chi_{1 \rightarrow 1'} + \chi_{1 \rightarrow 2'})$	-0.005	Ref.	Ref.	Ref.
$\Psi_1 = 1/\sqrt{2} (\chi_{1 \rightarrow 2'} - \chi_{1 \rightarrow 2})$	4.645	0	4.65 <sup>c</sup> )	—
$\Psi_2 = 0.7068(\chi_{1 \rightarrow 1'} + \chi_{1 \rightarrow 2'}) + 0.0316\chi_0$	5.605	0	5.52 <sup>c</sup> )	—
$\Psi_3 = 1/\sqrt{2} (\chi_{1 \rightarrow 1'} - \chi_{1 \rightarrow 2'})$	6.351	2.302	—	—
$\Psi_4 = 1/\sqrt{2} (\chi_{1 \rightarrow 2'} + \chi_{1 \rightarrow 2})$				

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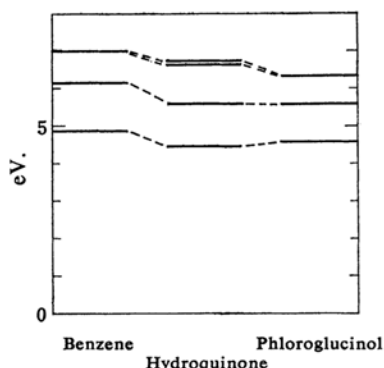


Fig. 2. Electronic state energies of benzene, hydroquinone and phloroglucinol.

### Summary

A semi-empirical theory of the  $\pi$ -electronic spectra of aromatic derivatives based on anti-

symmetrized molecular orbital method is given and is applied to the calculations of the singlet  $\pi$ -electronic states of hydroquinone and of phloroglucinol. In the theory the core integrals over AO's of heteroatoms are expressed as the functions of the core integrals over carbon atom  $2p\pi$  AO's. The calculated excitation energies for these molecules agree satisfactorily with the observed ones. In addition, our calculations give some informations with respect to the nature of their electronic state and their first ionization potentials.

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